

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC5171 PCT 1

In Re Application Of: CHENG et. al

Application No.

10/1568515

Filing Date

Examiner

Customer No.

00137

Group Art Unit

Confirmation No.

Title: URETHANE COMPOSITIONS CONTAINING CARBINOL-FUNCTIONAL SILICONE RESINS

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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10/1568545			00137		

Title: URETHANE COMPOSITIONS CONTAINING CARBINOL-FUNCTIONAL SILICONE RESINS

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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Complete if Known

Application Number	10/1568515
Filing Date	
First Named Inventor	CHENG
Art Unit	
Examiner Name	

Attorney Docket Number DC5171 PCT1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (# known)			
		US- 5,362,821	11-8-1994	Decker et al.	
		US- 5,378,532	01-03-1995	Decker et al.	
		US- 5,405,688	04-11-1995	Decker et al.	
		US- 4,202,807	05-13-1980	Moretto et al.	
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		US- 5,013,577	05-07-1991	Wright et al.	
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		US- 4,795,680	01-03-1989	Rich et al.	
		US- 5,840,806	11-24-1998	Komazaki et al.	
		US- 4,234,697	11-18-1980	Homan et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Sheet 2

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107568515

Application Number

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First Named Inventor CHENG

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		US- 5,262,507	11-16-1993	Decker et al.	
		US- 5,126,126	06-30-1992	Varaprathe et al.	
		US- 5,290,901	03-01-1994	Burns et al.	
		US- 5,814,679	09-29-1998	Eckberg et al.	
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		US- 6,610,777	08-26-2003	Anderson et al.	
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		US- 5,891,969	04-06-1999	Mine et al.	
		US- 4,684,534	08-04-1987	Valentine	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner Signature		Date Considered
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